



ROBBINS
TRADING COMPANY

Account Opening Instructions

I. Accessing the form you need

Robbins Trading Company (RTC) introduces accounts to multiple Futures Commission Merchants (FCMs). Your Robbins Account Executive can advise on the FCM that is most appropriate for your account needs. When you have determined the FCM you will be using, go to that section on the Robbins Trading Company Account Forms page to access the paperwork you will need.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask you for your name, address, date of birth, and a photo copy of a driver's license or other government-issued ID that will allow us to identify you. We may also ask for other identifying documents. Note that online application submissions are available for most account types. If you do not see your account type listed, please contact your Robbins Account Executive for assistance.

Submission of the following documents may be required by all applicants:

- A photo copy of a driver's license or other government-issued photo ID and address verification document, such as a bank statement or utility bill
- W8BEN for foreign accounts or W9 for US account holders
- Personal Funds letter – if self-employed or employed in the financial services industry
- Letter of Direction – if participating in an AutoTrade® program
- Entry Agreement – if participating in a World Cup Championship Event

II. Funding your account

A. Please contact Robbins Trading Company for Check Deposit and Bank Wiring instructions.

- Please note - The originator of any funds or collateral sent to the FCM must be of identical ownership to the trading account on the books of the FCM. If the FCM cannot confirm this information, the funds or collateral will be returned to the sender.
- Bank wires are considered cleared upon receipt. Personal checks may require up to 5 business days to clear.

III. Notice to customers

On your account paperwork, a street address is required. P.O. boxes will not be accepted. Non-U.S. citizens must include a copy of a valid passport or other government-issued ID with their application.

Robbins Futures Inc. (RFI) reserves the right to verify information contained in your application and in other documents that may be required in connection with this Agreement. You authorize all relative parties to provide to RFI any and all information and documentation that RFI requests, including but not limited to income, credit history and balances in bank and other similar accounts.

Each transaction entered into on your behalf will be confirmed in writing and mailed or emailed to you no later than the business day following the day of the transaction. Should you receive a "Daily Confirmation Statement" or "Monthly Statement" that contains transactions that appear to be incorrect or inaccurate in any way, you must immediately call an officer of RFI. Should you fail to receive an "Account Statement" within 5 business days confirming any transaction you believe was made on your behalf, you must immediately call an officer of RFI.

It is the Customer's responsibility, at all times, to maintain full day trade margin, as required by RTC or FCM for any contract for which day trade margin is made available, and in addition liquidate all positions that do not have full overnight margin at least 15 minutes before the daily market close (4:00 p.m. Central Time for stock index futures, or such other time as designated by the applicable exchange). In the event Customer does not meet these requirements, RTC shall have the option to, without notice, liquidate any positions that do not satisfy the applicable margin requirements and impose a liquidation fee (currently \$25 to \$50 per contract, depending on contract type and clearing firm). All accounts will be charged a \$15 monthly processing fee.

Only an officer of RFI has the authority to approve any adjustment to your account. This includes adjustments to your position as well as adjustments to your ledger balance. Your failure in any case to follow the above instructions shall be deemed ratification by you of any trades that appear or fail to appear in your account. Failure to notify RFI may preclude you from receiving an adjustment to your account at a later date.

IV. Sending completed paperwork

Completed paperwork may be faxed to 1-773-714-0900, scanned and emailed to info@robbinstrading.com, or mailed to:

Robbins Trading Company
5 Revere Drive
Suite 200
Northbrook IL

Robbins Trading Company will also be happy to arrange for complimentary FedEx pick-up of your completed paperwork. To arrange for this service, please call your Account Executive at 1-800-453-4444 or 1-773-714-9000.